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## NOTICE OF ALLOWANCE AND FEE(S) DUE

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04/02/2009

WOODCOCK WASHBURN LLP (MICROSOFT CORPORATION) CIRA CENTRE, 12TH FLOOR 2929 ARCH STREET PHILADELPHIA, PA 19104-2891

| EXAMINER     |              |  |  |  |
|--------------|--------------|--|--|--|
| LY, CHEYNE D |              |  |  |  |
| ART UNIT     | PAPER NUMBER |  |  |  |
| 2168         |              |  |  |  |

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DATE MAILED: 04/02/2009

APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/646,941 08/21/2003 J. Patrick Thompson MSFT-1749/302725.01 1302

TITLE OF INVENTION: SYSTEMS AND METHODS FOR SEPARATING UNITS OF INFORMATION MANAGEABLE BY A HARDWARE/SOFTWARE INTERFACE SYSTEM FROM THEIR PHYSICAL ORGANIZATION

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1510        | \$300               | \$0                  | \$1810           | 07/02/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

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This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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| APPLICATION NO.  | FILING DATE    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|--|----------------|----------------------|---------------------|------------------|--|
| 10/646,941   | 08/21/2003     | J. Patrick Thompson  | MSFT-1749/302725.01 | 1302             |  |
| 41505 75   | 590 04/02/2009 |                      | EXAMINER            |                  |  |
| WOODCOCK WASHBURN LLP (MICROSOFT CORPORATION)                              |                |                      | LY, CHEYNE D        |                  |  |
| CIRA CENTRE, 12TH FLOOR<br>2929 ARCH STREET<br>PHILADELPHIA, PA 19104-2891 |                | ART UNIT             | PAPER NUMBER        |                  |  |
|  |                |                      |                     |                  |  |

### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 322 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 322 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

|   | Application No.   | Applicant(s)  |
|---|---|---|
|   | 10/646,941  | THOMPSON ET AL.   |
| Notice of Allowability  | Examiner  | Art Unit  |
|   | CHEYNE D. LY  | 2168  |
|   | CHETNE D. LT  | 2100  |
| The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED or other appropriate comm<br>IGHTS. This application is | n this application. If not included unication will be mailed in due course. <b>THIS</b> |
| 1. This communication is responsive to <u>January 14, 2009</u> .  |   |   |
| 2. $\boxtimes$ The allowed claim(s) is/are $\underline{1,3-18,33,34,39-51,53-59,62-6}$  | 8,70-76 and 79-84.  |   |
| 3. ☐ Acknowledgment is made of a claim for foreign priority unallowed Allowed Boome* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have   | e been received.<br>e been received in Applicati                            | on No   |
| 3. Copies of the certified copies of the priority do  | cuments have been receive   | ed in this national stage application from the  |
| International Bureau (PCT Rule 17.2(a)).  * Certified copies not received:  |   |   |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.   |   | e a reply complying with the requirements   |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm<br>INFORMAL PATENT APPLICATION (PTO-152) which give  |   |   |
| 5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") must   | st be submitted.  |   |
| (a) $\square$ including changes required by the Notice of Draftspers  | son's Patent Drawing Revie  | w ( PTO-948) attached   |
| 1) 🗌 hereto or 2) 🔲 to Paper No./Mail Date  |   |   |
| <ul><li>(b) ☐ including changes required by the attached Examiner'<br/>Paper No./Mail Date</li></ul>  | s Amendment / Comment o   | or in the Office action of  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t  |   |   |
| 6. DEPOSIT OF and/or INFORMATION about the depo<br>attached Examiner's comment regarding REQUIREMENT  |   |   |
|   |   |   |
| Attachment(s) 1. ☑ Notice of References Cited (PTO-892)   | 5. ☐ Notice of I  | nformal Patent Application  |
| 2.   Notice of Draftperson's Patent Drawing Review (PTO-948)  |   | Summary (PTO-413),  |
| 3. Information Disclosure Statements (PTO/SB/08),   | Paper No<br>7. ⊠ Examiner's   | ./Mail Date<br>s Amendment/Comment  |
| Paper No./Mail Date  4.  Examiner's Comment Regarding Requirement for Deposit   | 8. ☐ Examiner's   | s Statement of Reasons for Allowance  |
| of Biological Material  | 9. 🔲 Other  | <u> </u>  |
| /Cheyne D Ly/   |   |   |
| Examiner, Art Unit 2168   |   |   |
|   |   |   |

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#### **EXAMINER'S AMENDMENT**

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with David Plat on March 27, 2009.

**REPLACE** Claims 1-84 with claims 1-84 amended by examiner (marked up) set forth below:

1. (Currently amended) A computer-readable storage medium with computer-readable instructions, the computer-readable storage medium comprising:

a kernel, the kernel of the operating system, the operating system comprising a shell and a kernel, the kernel of the operating system including a database management program and a file system, and the file system is integrated with the database management program, the operating system configured to store data in the file system as file streams, and generate Items associated with the file streams in the database management program, the Items interconnected by a plurality of Relationships managed by said database management program of the operating system, each of said Items having a boundary comprising an item type, applicable subtype information, complex type properties and extensions if any, and a list of the other Items owned by the Item and paths to the file streams stored in the file system, further wherein the list of other Items owned by the Item identifies other Items the Item extends an owning relationship to, further wherein an Item that extends an owning relationship controls at least the life time of the relationship; and

instructions for the database management program of the operating system to generate the owning relationship extending from a first Item to a second Item, wherein an

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owning relationship extending from the first Item to the second Item denotes to said database management program of the operating system that a file stream associated with said second Item is publicly accessible to said first Item, and a lack of an owning relationship extending back from said second Item to said first Item denotes to said database management program of the operating system that a file stream associated with said first Item is inaccessible to said second Item.

### 2. (Canceled)

- 3. (Currently amended) The computer-readable <u>storage</u> medium of claim 1 wherein the Relationship from said first Item to said second Item logically denotes in said database management program of the operating system that said second Item is public and accessible to said first Item.
- 4. (Currently amended) The computer-readable storage medium of claim 1 wherein said first Item is an Item Folder.
- 5. (Currently amended) The computer-readable <u>storage</u> medium of claim 4 wherein said second Item is an Item Folder.
- 6. (Original) The computer-readable storage medium of claim 4 wherein said second Item is a Category.
- 7. (Currently amended) The computer-readable storage medium of claim 4 wherein said second Item is an Item that is not an Item Folder or a Category.
- 8. (Currently amended) The computer-readable storage medium of claim 1 wherein said first Item is a Category.
- 9. (Currently amended) The computer-readable storage medium of claim 8 wherein said second Item is an Item Folder.
- 10. (Currently amended) The computer-readable <u>storage</u> medium of claim 8 wherein said second Item is a Category.

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11. (Currently amended) The computer-readable storage medium of claim 8 wherein said second Item is an Item that is not an Item Folder or a Category.

- 12. (Currently amended) The computer-readable <u>storage</u> medium of claim I wherein said first Item is an Item that is not an Item Folder or a Category.
- 13. (Currently amended) The computer-readable <u>storage</u> medium of claim 12 wherein said second Item is an Item Folder.
- 14. (Currently amended) The computer-readable <u>storage</u> medium of claim 12 wherein said second Item is a Category.
- 15. (Currently amended) The computer-readable <u>storage</u> medium of claim 12 wherein said second Item is not an Item Folder or a Category.
- 16. (Currently amended) The computer-readable storage medium of claim 1 wherein said second Item is an Item Folder.
- 17. (Currently amended) The computer-readable storage medium of claim 1 wherein said second Item is a Category.
- 18. (Currently amended) The computer-readable storage medium of claim 1 wherein said second Item is an Item that is not an Item Folder or a Category.
  - 19-32. (Canceled)
- 33. (Currently amended) The computer-readable <u>storage</u> medium of claim 12 wherein said second Item has a Relationship to said first Item.
- 34. (Currently amended) The computer-readable storage medium of claim 33 wherein said Relationship from said first Item to said second Item logically denotes in said database management program of the operating system that the file stream associated with said second Item is public and accessible to said first Item, and wherein said Relationship from said second Item to said first Item logically denotes in said database

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management program of the operating system that said file stream associated with the first Item is public and accessible to said second Item.

## 35-38. (Canceled)

- 39. (Currently amended) The computer-readable <u>storage</u> medium of claim 33 wherein said first Item is a Category.
- 40. (Currently amended) The computer-readable storage medium of claim 39 wherein said second Item is an Item Folder.
- 41. (Currently amended) The computer-readable <u>storage</u> medium of claim 39 wherein said second Item is a Category.
- 42. (Currently amended) The computer-readable <u>storage</u> medium of claim 39 wherein said second Item is an Item that is not an Item Folder or a Category.
- 43. (Currently amended) The computer-readable storage medium of claim 33 wherein said first Item is an Item that is not an Item Folder or a Category.
- 44. (Currently amended) The computer-readable <u>storage</u> medium of claim 43 wherein said second Item is an Item Folder.
- 45. (Currently amended) The computer-readable storage medium of claim 43 wherein said second Item is a Category.
- 46. (Currently amended) The computer-readable <u>storage</u> medium of claim 43 wherein said second Item is not an Item Folder or a Category.
- 47. (Currently amended) The computer-readable storage medium of claim 1 wherein each Item from among said Items has a Relationship to at least one other Item.
- 48. (Currently amended) The computer-readable <u>storage</u> medium of claim 1 wherein a subset of Items comprise Item Folders.

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49. (Currently amended) The computer-readable storage medium of claim 1 wherein a subset of Items comprise Categories.

- 50. (Currently amended) The computer-readable <u>storage</u> medium of claim 1 wherein a subset of Items comprise Items that are not Item Folders or Categories.
  - 51. (Currently amended) A computer system, the computer system comprising: hardware including a computer readable storage medium;

instructions for an operating system stored in the computer readable storage medium, the operating system configured to manage the hardware, the operating system comprising a shell and a kernel, the kernel of the operating system including a database management program and a file system and the file system is integrated with the database management program, a first portion of the operating system configured to operate in a user mode and a second portion of the operating system configured to operate in a kernel mode:

the operating system further configured to store data in the file system as file streams, and generate Items associated with the file streams in the database management program of the operating system, the Items interconnected by Relationships, each of said Items having a boundary comprising an item type, applicable subtype information, complex type properties and extensions if any, and a list of the other Items owned by the Item and paths to the file streams stored in the file system, further wherein the list of other Items owned by the Item identifies other Items the Item extends an owning relationship to, further wherein an Item that extends an owning relationship controls at least the life time of the relationship; and

the database management program of the operating system configured to generate an owning relationship extending from a first Item to a second Item, wherein the owning relationship extending from the first Item to the second Item denotes to said database management program of

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the operating system that a file stream associated with said second Item is publicly accessible to said first Item, and a lack of an owning Relationship extending back from said second Item to said first Item denotes to said database management program of the operating system that a file stream associated with said first Item is inaccessible to said second Item.

### 52. (Canceled)

- 53. (Currently amended) The <u>computer system</u>, database management program of the operating system of claim 51 wherein the Relationship from said first Item to said second Item logically denotes in said database management program of the operating system that said file stream associated with the second Item is public and accessible to said first Item.
- 54. (Currently amended) The <u>computer system database management-program of</u> the operating system of claim 51 wherein said first Item is an Item Folder.
- 55. (Currently amended) The <u>computer system database management program of</u> the operating system of claim 51 wherein said first Item is a Category.
- 56. (Currently amended) The <u>computer system database management program of</u> the operating system of claim 51 wherein said first Item is an Item that is not an Item Folder or a Category.
- 57. (Currently amended) The <u>computer system database management program of</u>
  the operating system—of claim 51 wherein said second Item is an Item Folder.
- 58. (Currently amended) The <u>computer system database management program of</u> the operating system of claim 51 wherein said second Item is a Category.
- 59. (Currently amended) The <u>computer system database management-program of the operating system-of claim 51</u> wherein said second Item is an Item that is not an Item Folder or a Category.

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60.-61. (Canceled)

- 62. (Currently amended): The <u>computer system</u> database management program of the operating system of claim 51 wherein said second Item has a Relationship to said first Item.
- 63. (Currently amended) The computer system database management program of the operating system of claim 62 wherein said Relationship from said first Item to said second Item logically denotes in said database management program of the operating system that said file stream associated with the second Item is public and accessible to said first Item, and wherein said Relationship from said second Item to said first Item logically denotes in said database management program of the operating system that said file stream associated with the first Item is public and accessible to said second Item.
- 64. (Currently amended) The <u>computer system database management program of the operating system</u>—of claim 51 wherein each Item from among said Items has a Relationship to at least one other Item.
- 65. (Currently amended) The <u>computer system database management program of</u> the operating system-of claim 51 wherein a subset of Items comprise Item Folders.
- 66. (Currently amended) The <u>computer system</u> detabase management program of the operating system of claim 51 wherein a subset of Items comprise Categories.
- 67. (Currently amended) The <u>computer system database-management programs of the operating system</u> of claim 51 wherein a subset of Items comprise Items that are not Item Folders or Categories.

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68. (Currently amended) A method for organizing data in a computer system, the method comprising:

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receiving, from an application operating in user space of an operating system, a request to save data to a file system, wherein the operating system comprises a shell and a kernel, the kernel of the operating system including a database management program and the file system and the file system is integrated with the database management program; the file system and the file system is integrated with a database management program;

saving, by the operating system, the data to the file system as file stream; generating, by the database management program, an Item, associated with the file stream each Item stored in the database management program having a boundary comprising an item type, applicable subtype information, complex type properties and extensions if any, and a list of the other Items owned by the Item and paths to associated file streams stored in the file system, further wherein the list of other Items owned by the Item identifies other Items the Item extends an owning relationship to, further wherein an Item that extends an owning relationship controls at least the life time of the relationship; and

generating, by the database management program, an owning relationship extending from a first Item to a second Item, wherein the owning relationship extending from the first Item to the second Item denotes to said database management program that a file stream said second Item is publicly accessible to said first Item, and a lack of an owning relationship extending back from said second Item to said first Item denotes to said database management program that a file stream said first Item is inaccessible to said second Item.

### 69. (Canceled)

70. (Currently amended) The method of claim 68 further comprising: the step of denoting in database management program that, due to said Relationship, said

second Item is public and accessible to said first Item.

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71. (Previously presented) The method of claim 68 wherein said first Item is an Item Folder.

- 72. (Previously presented) The method of claim 68 wherein said first Item is a Category.
- 73. (Previously presented) The method of claim 68 wherein said first Item is an Item that is not an Item Folder or a Category.
- 74. (Previously presented) The method of claim 68 wherein said second Item is an Item Folder.
- 75. (Previously presented) The method of claim 68 wherein said second Item is a Category.
- 76. (Previously presented) The method of claim 68 wherein said second Item is an Item that is not an Item Folder or a Category.
  - 77.-78. (Canceled)
  - 79. (Currently amended) The method of claim 68 further comprising: the step of extending a Relationship from said second Item to said first Item
- 80. (Previously presented) The method of claim 79 wherein said Relationship from said first Item to said second Item logically denotes in said database management program that said file stream associated with the second Item is public and accessible to said first Item, and wherein said Relationship from said second Item to said first Item logically denotes in said database management program that said file stream associated with the first Item is public and accessible to said second Item.
- \$1. (Original) The method of claim 68 wherein each Item from among said Items has a Relationship to at least one other Item.

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1. The prior art of record fails to teach or suggest the claimed invention individually or in combination the limitation of "the operating system comprising a shell and a kernel, the kernel of the operating system including a database management program and a file system, and the file system is integrated with the database management program..." as set forth in claim 1, and similarly in claims 51 and 68.

2. The closest prior art, Barney et al. (newly cited), describes an integration of a database into a file management software, and Burger et al. (newly cited) describes a system for interfacing computer application programs written in different languages to a software system. However, neither Barney et al. or Burger et al. teaches or suggests the limitations cited above as being free of any prior art when read in the claims as a whole.

#### **CONCLUSION**

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance.
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Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

- 2. For all other customer support, please call the USPTO Call Center (UCC) at 800-786-9199. The USPTO's official fax number is 571-272-8300.
- Any inquiry concerning this communication or earlier communications from the
  examiner should be directed to C. Dune Ly, whose telephone number is (571) 272-0716.
   The examiner can normally be reached on Monday-Friday from 8 A.M. to 4 P.M.
- 4. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tim Vo, can be reached on (571) 272-3642.

/Cheyne D Ly/ Primary Examiner, Art Unit 2168